

Biometrics Database

CrimTrac currently manages the National Automated Fingerprint Identification System (NAFIS) and the National Criminal Investigation DNA Database (NCIDD); both of which are biometric holdings.

CrimTrac is unaware of any new proposal for it to be involved in the provision of a capability to support a national biometrics database or system in addition to the NCIDD or NAFIS capabilities.

CrimTrac has not prepared a Ministerial brief or an internal brief to its Chief Executive Officer on this matter.

As such, CrimTrac is unable to provide access to "the latest brief for the CEO and minister of the potential for CrimTrac to host a biometrics database" as per your request of 5 April 2011 as the documents do not exist¹. This decision has been made in accordance with section 24A of the FOI Act.

Automated Number Plate Recognition (Attachments 1 and 2)

You requested information about ANPR, in particular you requested:

- A copy of the ANPR Scoping Study Report 2008; and
- A copy of the latest brief CrimTrac prepared for its 2011 incoming Chief Executive Officer or Ministerial brief on ANPR.

Attached, as requested, is a copy of the ANPR Scoping Study Report 2008 and a brief on ANPR prepared by CrimTrac for its incoming CEO. A decision to provide access to the ANPR documents listed above has been made in accordance with section 11A of the FOI Act.

CrimTrac does not prepare Ministerial Briefs; this is the responsibility of the Attorney-General's Department. As such, CrimTrac is unable to provide access to "the latest ministerial brief on the project" as per your request of 5 April 2011 as the documents do not exist². This decision has been made in accordance with section 24A of the FOI Act.

National Criminal Investigation DNA Database (NCIDD) (Attachment 3)

In your request of 5 April 2011, you sought information about NCIDD in relation to:

- the number of individuals referenced on the database for each of the years commencing 1 January 2001:

The NCIDD does not hold references to individuals. The NCIDD holds references for DNA samples. I have attached a table that illustrates the number of records in NCIDD per year, as is available, broken by State/Territory. A decision to provide access to the number of records in NCIDD for the years 2003 – 2011, where available, has been made in accordance with section 11A of the FOI Act.

¹ Parnell, Sean (2011) Privacy and FOI Enquiries [1:1] email of 5 April 2011 10.04 pm from Sean Parnell to foi@crimtrac.gov.au CrimTrac reference 11/269

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With the exception of Queensland, NCIDD does not have records of the type you have requested for years before 2004. In addition, not all jurisdictions have records for all of the years you have requested. In the instances where information per state/territory per year is not provided it is because the information is unavailable and the documents do not exist. This decision has been made in accordance with section 24A of the FOI Act.

- The current list of categories and identifying or demographic information

NCIDD does not have categories or identifying or demographic information. As such, CrimTrac is unable to provide access to this information as the documents do not exist. This decision has been made in accordance with section 24A of the FOI Act.

- The number of active users and searches for each government agency in each of the years commencing 1 January 2000.

The following table provides the information that is available in relation to the number of authorised (active) users of NCIDD.

2011 (May)	123
2010 (May)	130
2009 (October)	145
2008 (April)	127
2007 (July)	143

NCIDD is unable to separate, by year or by type, the number of searches conducted by these users.

Australian National Child Offender Register (ANCOR)

CrimTrac does not have access to ANCOR that would allow the retrieval of information different to that published in its Annual Report (for the 2010 Annual Report please see www.crimtrac.gov.au). As such, CrimTrac is unable to provide access to this information as the documents do not exist. This decision has been made in accordance with section 24A of the FOI Act.

National Automated Fingerprint Identification System (NAFIS)

In your request of 5 April 2011, you sought information about NAFIS in relation to:

- the number of individuals referenced on the database for each of the years commencing 1 January 2001:

The number of records currently on NAFIS for the 2010/2011 financial year is 3,181,555. NAFIS holdings relate to records (fingerprint holdings) and not to individuals.

The number of records on NAFIS for previous years is as below. This information has been provided in accordance with section 11A of the FOI Act.

Financial Year	Number
2006 / 07	2.9 Million
2007 / 08	3 Million
2008 / 9	3 Million
2009 / 10	3.2 Million

CrimTrac is unable to retrieve information relating to the number of records contained on NAFIS prior to the years given above. CrimTrac is unable to provide access to this information as the documents do not exist. This decision has been made in accordance with section 24A of the FOI Act.

- The current list of categories and identifying or demographic information

The table below represents the categories contained on NAFIS. This information has been made available in accordance with section 11A of the FOI Act.

Common Data Fields
CNI
Registration ID
Person Control Number (PCN)
Region of Interest
Record Type
Gender
Record Group
NIST Archive Storage Flag
Latent Link Flag
Date Prints Taken ❖

- The number of active users and searches for each government agency in each of the years commencing 1 January 2000.

NAFIS is accessed by 600 specialist users. However, many police officers and in some case unsworn police staff and officers of the Department of Immigration and Citizenship can take a set of fingerprints. This could bring the number of active users of NAFIS, in terms of ability to upload fingerprints, to over 60,000.

The following numbers represent the number of searches conducted on NAFIS for each listed financial year. There are a number of parameters for searches including: 1) a search of an unsolved latent against the known print database or 2) a search of a known set of prints against the known print database.

2006/7 – 520,974

2007/8 – 560,583

2008/9 – 653,821
2009/10 – 684,555

NAFIS is unable to break these figures down by agency and/or year. As such, CrimTrac is unable to provide access to this information as the documents do not exist. This decision has been made in accordance with section 24A of the FOI Act.

National Police Reference System (NPRS) (Attachment 4 and 5)

In your request of 5 April, you sought information about NPRS in relation to:

- the number of individuals referenced on the database for each of the years commencing 1 January 2001:

I have attached a general statistical count which indicates, by jurisdiction, how many persons of interest (POI) entries were provisioned into NPRS for the periods 2005 to 2011 (Attachment 4).

CrimTrac is unable to produce statistical data from NPRS for the years prior to 2005. NPRS had not reached implementation at a production strength environment before that time. As such, CrimTrac is unable to provide access to this information as the documents do not exist. This decision has been made in accordance with section 24A of the FOI Act.

In addition, the 2005 and 2006 reports are indicative of the NPRS pilot system only, with limited national provisioning. This is true to some extent for all years up until 2009, as NPRS did not achieve National roll-out with all jurisdictions participating fully until 2009. It is also important to note that NPRS holdings are not limited or restricted to individuals. That is, the platform does not consolidate records that may contain similar information. NPRS accepts records as they are provisioned by the police jurisdictions. There may be instances where one individual is referenced several times as a 'record' holding which may increase the jurisdictional, or overall, count. Access to information relating to jurisdictional count of NPRS is provided in accordance with section 11A of the FOI Act.

- The current list of categories and identifying or demographic information

I have attached a NPRS Data Holdings Report dated 9 March 2010 (the 2010 Report) (Attachment 5).

The 2010 Report provides the list of categories and identifying or demographic information contained within NPRS. The categories and identifying or demographic information contained in NPRS have not changed since national roll-out. The information has been provided in accordance with section 11A of the FOI Act. It is important to note that not all jurisdictions provision all fields; as there is no mandatory compliance standard –jurisdictions provision information as relevant.

- The number of active users and searches for each government agency in each of the years commencing 1 January 2000.

NPRS has been designed to allow each police service to determine its own rules for providing and querying data. Detailed information can be stored at CrimTrac, or retained at its original source and is rapidly accessible by 50,000 police users through web service transactions. CrimTrac is unable to access records of users or searches conducted on NPRS. As such, CrimTrac is unable to provide access to this information as the documents do not exist. This decision has been made in accordance with section 24A of the FOI Act.

National Police Checking Service: NCHRS Support System (NSS) (Attachments 6 and 7)

In your request of 5 April, you sought information about National Police Checking Service in relation to:

- the number of individuals referenced on the database for each of the years commencing 1 January 2001:

The number of records held on NSS is available through CrimTrac annual reports. As such, and in accordance with your request of 5 April 2011, CrimTrac has not reproduced this information.

NSS is not able to breakdown its records by State/Territory of residence or citizenship. In addition, NSS is not able to produce a statistical report providing details about the number of searches (requests) made by State/Territory. As such, CrimTrac is unable to provide access to this information as the documents do not exist. This decision has been made in accordance with section 24A of the FOI Act.

- The current list of categories and identifying or demographic information

I have attached a current list of categories as used by NSS. This information has been provided in accordance with section 11A of the FOI Act. (Attachment 6)

- The number of active users and searches for each government agency in each of the years commencing 1 January 2000.

I have attached a table that provides information about the number of accredited agencies with authorised access to NSS as at 23 May 2011 (Attachment7). This information has been provided in accordance with section 11A of the FOI Act. For years prior to 2011 CrimTrac has provided information on the number of accredited agencies, both on its website (www.crimtac.gov.au) and through its Annual Report. As per your request, CrimTrac has not reproduced this information. It is important to note that while Accredited Agencies are able to submit checks (enquiries) they do not have direct access to NSS through which a search can be conducted.

CrimTrac does not have any additional information relating to the number of active users and searches conducted on NSS. As such, CrimTrac is unable to provide access to this information as the documents do not exist. This decision has been made in accordance with section 24A of the FOI Act. It is important to note that NSS holds records that are not limited or restricted to individuals.

Audits

In your FOI request of 5 April 2011 you requested information relating to:

- The number of audits conducted by CrimTrac for the security of its information since 1 January 2008;

CrimTrac generally undertake 2 to 3 external audits per year that either directly review, or involve aspects of, ICT Security.

The following provides a response to your question. This information has been provided in accordance with section 11A of the FOI Act.

Time frame	Audit type	Provider
June 2008	Financial Audit	ANAO
October 2008	ICT Security Audit	Deloitte
July 2009	Physical Audit	Deloitte
November 2009	Physical Audit (Criminal History Team specific)	Deloitte
June 2010	Financial Audit	ANAO
April 2010	Physical Audit	ANAO
November 2010	Financial Audit	Deloitte

- The number of audits conducted by CrimTrac in relation to the compliance of its users since 1 January 2008;

CrimTrac is involved in fielding a number of requests for individual centric audits across its various business systems. These are typically an audit of a particular jurisdictional user, or an audit of the activities surrounding a particularly jurisdictional entity. Audits of this type are requested through jurisdictional audit and ICT security sections and will typically be in support of a locally focused audit. On average, CrimTrac performs between 10 and 20 of audits of this type each year. More specifically:

- 15 audits of this type were conducted in 2008;
- 20 audits of this type in 2009;
- 14 in 2010; and
- to date 4 audits of this type have been requested in 2011.

This information is provided in accordance with section 11A of the FOI Act.

It should be noted that none of these audits were focused on CrimTrac system usage. In general, CrimTrac is not a 'user' of the systems it administers/supports. The users of the systems are jurisdictionally based law enforcement agencies, such as the NSW Police. However, in the course of supporting its various platforms (such as NPRS) CrimTrac staff have strictly controlled and authorised access to production data. As such, CrimTrac carries out internal audits on all staff with such access annually. The most recent internal audit was performed in April 2011.